

Meeting	<b>AUDIT AND GOVERNANCE COMMITTEE</b>
Time/Day/Date	6.30 pm on Wednesday, 6 December 2017
Location	Council Chamber, Council Offices, Coalville
Officer to contact	Democratic Services (01530 454512)

All persons present are reminded that the meeting may be recorded and by attending this meeting you are giving your consent to being filmed and your image being used. You are kindly requested to make it known to the Chairman if you intend to film or record this meeting.

The Monitoring Officer would like to remind members that when they are considering whether the following items are exempt information under the relevant paragraph under part 1 of Schedule 12A of the Local Government Act 1972 they must have regard to the public interest test. This means that members must consider, for each item, whether the public interest in maintaining the exemption from disclosure outweighs the public interest in making the item available to the public.

## **AGENDA**

<b>Item</b>	<b>Pages</b>
<b>1. APOLOGIES FOR ABSENCE</b>	
<b>2. DECLARATION OF INTERESTS</b>	
Under the Code of Conduct members are reminded that in declaring disclosable interests you should make clear the nature of that interest and whether it is pecuniary or non-pecuniary.	
<b>3. MINUTES</b>	
To confirm and sign the minutes of the meeting held on 27 September 2017.	<b>3 - 8</b>
<b>4. ANNUAL AUDIT LETTER 2016/17</b>	
Report of the Financial Planning Team Manager	<b>9 - 18</b>
<b>5. INTERNAL AUDIT PROGRESS REPORT - OCTOBER 2017</b>	
Report of the Interim Head of Transformation	<b>19 - 38</b>



<b>6.</b>	<b>TREASURY MANAGEMENT ACTIVITY REPORT - APRIL TO OCTOBER 2017</b>	
	Report of the Financial Planning Team Manager	<b>39 - 46</b>
<b>7.</b>	<b>PROGRESS MADE IN RESPECT OF IMPROVEMENT IDENTIFIED THROUGH THE REVIEW OF THE ANNUAL GOVERNANCE STATEMENT</b>	
	Report of the Financial Planning Team Manager	<b>47 - 52</b>
<b>8.</b>	<b>CORPORATE RISK UPDATE</b>	
	Review of the Financial Planning Team Manager	<b>53 - 58</b>
<b>9.</b>	<b>STANDARDS AND ETHICS – QUARTER 2 REPORT 2017/18</b>	
	Report of the Head of Legal and Support Services	<b>59 - 78</b>
<b>10.</b>	<b>AUDIT AND GOVERNANCE COMMITTEE MEMBER TRAINING</b>	
	Report of the Financial Planning Team Manager	<b>79 - 80</b>
<b>11.</b>	<b>COMMITTEE WORK PLAN</b>	
	To note the Committee's work plan	<b>81 - 82</b>

Circulation:

Councillor R Ashman  
 Councillor J Cotterill (Chairman)  
 Councillor F Fenning  
 Councillor D Harrison (Deputy Chairman)  
 Councillor G Houlton  
 Councillor G Jones  
 Councillor S McKendrick  
 Councillor P Purver  
 Councillor A C Saffell  
 Councillor S Sheahan